#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Moon et al.

Serial No.: 09/874,631

Filed: June 5, 2001

For: FLEXIBLE BALL GRID ARRAY

CHIP SCALE PACKAGES

Confirmation No.: 5108

Examiner: S. Clark

**Group Art Unit: 2815** 

Attorney Docket No.: 2269-4368US

(99-0959.00/US)

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#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of U.S. patents are <u>not</u> being submitted pursuant to M.P.E.P. 609 III A(2). Copies of foreign patent documents and non-patent literature are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an

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admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

## U.S. Patent Documents

U.S. Patent No.	Publication Date	<u>Patentee</u>
US - 3,239,496	03/1966	Jursich
US - 4,074,342	02/1978	Honn et al.
US - 4,818,728	04/1989	Rai et al.
US - 5,148,265	09/1992	Khandros
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US- 2003/0134450 A1	07/2003	Lee

## Foreign Patent Documents

Document No.	<b>Publication Date</b>	<u>Patentee</u>
EP 0684644	11/1995	Kata et al.
EP 1009027	06/2000	Okuno
KR 2001054744	07/2001	Choi et al. (English Abstract)

# Other Documents

AL-SARAWI et al., "A review of 3-D packaging technology," Components, Packaging, and Manufacturing Technology, Part B: IEEE Transactions on Advanced Packaging, Vol 21, Issue 1, Feb. 1998, pp. 2-14.

- ANDROS et al., "TBGA Package Technology," Components, Packaging, and Manufacturing Technology, Part B: IEEE Transactions on Advanced Packaging, Vol. 17, Issue 4, Nov. 1994, pp. 564-568.
- CLOT et al., "Flip-Chip on Flex for 3D Packaging," 1999. 24th IEEE/CPMT, 18-19 Oct. 1999, pp. 36-41.
- FERRANDO et al., "Industrial approach of a flip-chip method using the stud-bumps with a non-conductive paste," Adhesive Joining and Coating Technology in Electronics Manufacturing, 2000. Proceedings. 4th International Conference on, 18-21, June 2000, pp. 205-211.
- GALLAGHER et al., "A Fully Additive, Polymeric Process for the Fabrication and Assembly of Substrate and Component Level Packaging," The First IEEE International Symposium on Polymeric Electronics Packaging, 26-30, Oct. 1997, pp. 56-63.
- GEISSINGER et al., "Tape Based CSP Package Supports Fine Pitch Wirebonding," Electronics Manufacturing Technology Symposium, 2002, IEMT 2002, 27th Annual IEEE/SEMI International, 17-18 July 2002, pp. 41-452.
- HATANAKA, H., "Packaging processes using flip chip bonder and future directions of technology development," Electronics Packaging Technology Conference, 2002. 4th, 10-12, Dec. 2002, pp. 434-439.
- HAUG et al., "Low-Cost Direct Chip Attach: Comparison of SMD Compatible FC Soldering with Anisotropically Conductive Adhesive FC Bonding," IEEE Transactions on Electronics Packaging Manufacturing, Vol. 23, No. 1, Jan 2000, pp. 12-18.
- KLOESER et al., "Fine Pitch Stencil Printing of Sn/Pb and Lead Free Solders for Flip Chip Technology," IEEE Transactions of CPMT Part C, vol. 21, No. 1, 1998, pp. 41-49.
- LEE et al., "Enhancement of Moisture Sensitivity Performance of a FBGA," Proceedings of International Symposium on Electronic Materials & Packaging, 2000, pp. 470-475.
- LI et al., "Stencil Printing Process Development for Flip Chip Interconnect," IEEE Transactions Part C: Electronics Packaging Manufacturing, Vol. 23, Issue 3, (July 2000), pp. 165-170.
- LYONS et al., "A New Approach to Using Anisotropically Conductive Adhesives for Flip-Chip Assembly, Part A, " *IEEE Transactions on Components, Packaging, and Manufacturing Technology*, Vol. 19, Issue 1, March 1996, pp. 5-11.

- TEO et al., "Enhancing Moisture Resistance of PBGA," *Electronic Components and Technology Conference*, 1988. 48th IEEE, 25-28 May 1998, pp. 930-935.
- TEUTSCH et al, "Wafer Level CSP using Low Cost Electroless Redistribution Layer,"

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  May 2000, pp. Pages: 107-113.
- "The 2003 International Technology Roadmap for Semiconductor: Assembly and Packaging."
- TSUI et al., "Pad redistribution technology for flip chip applications," *Electronic Components* and *Technology Conference*, 1998. 48<sup>th</sup> IEEE, 25-28 May 1998, pp. 1098-1102.
- XIAO et al., "Reliability study and failure analysis of fine pitch solder-bumped flip chip on low-cost flexible substrate without using stiffener," IEEE, 2002. Proceedings 52<sup>nd</sup>, 28-31 May 2002, pp. 112-118.

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed concurrently with an RCE in the above-identified application, and therefore no additional fee is due.

Respectfully submitted,

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Date: September 24, 2004

JAW/dlm:ljb

Enclosures: Form PTO-1449 or PTO/SB/08

Copy of non-US documents cited

Document in ProLaw

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Substitute f	for form 1449A/PTO				Complete if Known
INFORMATION DISCLOSURE		Application Number	09/874,631		
			PPLICANT	Filing Date	June 5, 2001
	ENIENT D		I I EIOIN I	First Named Inventor	Moon et al.
				Group Art Unit	2815
	(use as many she	ets as i	necessary)	Examiner Name	S. Clark
Sheet	1	of	4	Attorney Docket Number	2269-4368US (99-0959.00/US)

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite No.	Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-3,239,496	03/1966	Jursich	
		US- 4,074,342	02/1978	Honn et al.	
		US- 4,818,728	04/1989	Rai et al.	
		US- 5,148,265	09/1992	Khandros	
•		US- 5,346,861	09/1994	Khandros	
		US- 5,404,044	04/1995	Booth et al.	
		US- 5,468,681	11/1995	Pasch	
		US- 5,489,804	02/1996	Pasch	
		US- 5,679,977	10/1997	Khandros	
		US- 5,683,942	11/1997	Kata	
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		US- 5,777,391	07/1998	Nakamura	
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		US- 5,905,303	05/1999	Kata	
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		US- 6,133,637	10/2000	Hikita et al.	
		US- 6,177,723	01/2001	Eng et al.	
		US- 6,217,343	04/2001	Okuno	
		US- 6,222,265	04/2001	Akram et al.	

Initials* No. 1 Country Code3 - Number4	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
		EP 0684644	11/1995	Kata et al.		
•		EP 1009027	06/2000	Okuno		
		KR 2001054744	07/2001	Choi et al. (English Abstract)		

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. 
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO Complete if Known 09/874,631 INFORMATION DISCLOSURE Application Number June 5, 2001 Filing Date STATEMENT BY APPLICANT First Named Inventor Moon et al. Group Art Unit 2815 (use as many sheets as necessary) S. Clark **Examiner Name** of Attorney Docket Number 2269-4368US (99-0959.00/US) Sheet

	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
	No.	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
		US- 6,232,666	05/2001	Corisis et al.	
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		US- 6,265,775	07/2001	Seyyedy	
		US- 6,291,265	09/2001	Mess	
		US- 6,295,730	10/2001	Akram	
- "		US- 6,338,985	01/2002	Greenwood	
		US- 6,468,831	10/2002	Leong et al.	
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		US- 2002/0185661 A1	12/2002	Kawanobe et al.	
		US- 2003/0134450 A1	07/2003	Lee	

		FOREIGN P	ATENT DOCU	MENTS		
Examiner Cite	Cite	Foreign Patent Document	]	Name of Patentee or	Pages, Columns, Lines,	
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gog">www.uspto.gog</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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INEO	INFORMATION DISCLOSURE		Application Number	09/874,631	
-			Filing Date	June 5, 2001	
STATEMENT BY APPLICANT		First Named Inventor	Moon et al.		
			Group Art Unit	2815	
	(use as many she	ets as	necessary)	Examiner Name	S. Clark
Sheet	3	of	4	Attorney Docket Number	2269-4368US (99-0959.00/US)

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		AL-SARAWI et al., "A review of 3-D packaging technology," Components, Packaging, and Manufacturing Technology, Part B: IEEE Transactions on Advanced Packaging, Vol 21, Issue 1, Feb. 1998, pp. 2-14.	
		ANDROS et al., "TBGA Package Technology," Components, Packaging, and Manufacturing Technology, Part B: IEEE Transactions on Advanced Packaging, Vol. 17, Issue 4, Nov. 1994, pp. 564-568.	
		CLOT et al., "Flip-Chip on Flex for 3D Packaging," 1999. 24th IEEE/CPMT, 18-19 Oct. 1999, pp. 36-41.	
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		GALLAGHER et al., "A Fully Additive, Polymeric Process for the Fabrication and Assembly of Substrate and Component Level Packaging," The First IEEE International Symposium on Polymeric Electronics Packaging, 26-30, Oct. 1997, pp. 56-63.	
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	-	HATANAKA, H., "Packaging processes using flip chip bonder and future directions of technology development," Electronics Packaging Technology Conference, 2002. 4th, 10-12, Dec. 2002, pp. 434-439.	
		HAUG et al., "Low-Cost Direct Chip Attach: Comparison of SMD Compatible FC Soldering with Anisotropically Conductive Adhesive FC Bonding," IEEE Transactions on Electronics Packaging Manufacturing, Vol. 23, No. 1, Jan 2000, pp. 12-18.	
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		LEE et al., "Enhancement of Moisture Sensitivity Performance of a FBGA," Proceedings of International Symposium on Electronic Materials & Packaging, 2000, pp. 470-475.	
		LI et al., "Stencil Printing Process Development for Flip Chip Interconnect," IEEE Transactions Part C: Electronics Packaging Manufacturing, Vol. 23, Issue 3, (July 2000), pp. 165-170.	

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Initials *	No.	city and/or country where published.	
		LYONS et al., "A New Approach to Using Anisotropically Conductive Adhesives for Flip-Chip Assembly, Part A, " IEEE Transactions on Components, Packaging, and Manufacturing Technology, Vol. 19, Issue 1, March 1996, pp. 5-11.	
		TEO et al., "Enhancing Moisture Resistance of PBGA," Electronic Components and Technology Conference, 1988. 48 <sup>th</sup> IEEE, 25-28 May 1998, pp. 930-935.	
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